

2877

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
113197-006

In Re Application Of: **DISPERSION SHIFTED OPTICAL FIBER**

Serial No.
09/786,855

Filing Date
March 9, 2001

Examiner
Michael P. Mooney

Group Art Unit
2877

Title: **DISPERSION SHIFTED OPTICAL FIBER**

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal of Information Disclosure Statement (duplicate); Statement Accompanying Information Disclosure Statement (1 pg.); Information Disclosure Statement (2 pgs.); PTO Form 1449 (1 pg.); Three References; Two English language abstracts; Copy of Chinese Office Action and English translation (13 pgs.); return receipt postcard.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

02-1818

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Dated: September 24, 2003


Signature

Michael S. Leonard (Reg. No. 37,557)
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
Tel: 312/807-4270
Fax: 312/827-8185

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Typed or Printed Name of Person Mailing Correspondence

cc:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
113197-006

In Re Application: Matsuo, S., et al.

Serial No.
09/786,855

Filing Date
March 9, 2001

Examiner
Michael P. Mooney

Group Art Unit
2877

DISPERSION SHIFTED OPTICAL FIBER

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Signature

Dated: September 24, 2003

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

113197-006

In Re Application Of: Matsuo, S. et al.

Serial No.
09/786,855Filing Date
March 9, 2001Examiner
Michael P. MooneyGroup Art Unit
2877Title: **DISPERSION SHIFTED OPTICAL FIBER**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

Docket No.
113197-006

In Re Application Of: Matsuo, S., et al.

Serial No.
09/786,855

Filing Date
March 9, 2001

Examiner
Michael P. Mooney

Group Art Unit
2877

Invention: DISPERSION SHIFTED OPTICAL FIBER

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: September 24, 2003

Michael S. Leonard (Reg. No. 37,557)
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
Tel: 312/807-4270
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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Matsuo, S., et al.
Appl. No.: 09/786,855
Conf. No.: 7937
Filed: March 9, 2001
Title: DISPERSION SHIFTED OPTICAL FIBER
Art Unit: 2877
Examiner: Michael P. Mooney
Docket No.: 113197-006

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
0 859 247 A2	August 19, 1998	Europe
10-246830	September 14, 1998	Japan
11-167038	June 22, 1999	Japan

The Japanese foreign patent references listed above are not in the English language. English language abstracts are enclosed for those references.

The Chinese Patent Office issued an Office Action in a Chinese application corresponding to the present application. The Office Action of July 25, 2003 for Chinese Patent Application 00801834.0 is enclosed. An English language translation of the Chinese Office Action is also enclosed. The English language translation of the Chinese Office Action is being submitted merely for an English language supplement to the English language abstracts for the references which are already enclosed.

Applicants do not admit any of the assertions in the Chinese Office Action, including any rejections or asserted disclosures in the references, for example.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Michael S. Leonard

Reg. No. 37,557

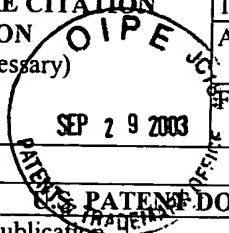
P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4270

Dated: September 24, 2003

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 113197-006	Application No. 09/786,855
	Applicant Matsuo, S, et al.	
	Filing Date March 9, 2001	Group 2877



PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS								
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No
		0 859 247 A2	08/19/98	Europe				
		10-246830	09/14/98	Japan				
		11-167038	06/22/99	Japan				

Examiner's Initials		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	